



MARKS & ASSOCIATES


Chartered Accountants

AUDITORS REPORT

To
The Trustee
UPRVUNL-CPF Trust
Shakti Bhawan,
Lucknow.

1. We have examined the balance sheet as at 31st March 2013, Receipts and Payment account and Income and Expenditure account of Trust for the year ended on that date, attached herewith of M/s CPF Trust of UPRVUNL (Pan No. AAATU2424L) these financial statements are the responsibility of the company's management. Our responsibility is to express an opinion on these financial statements based on our audit.
2. We conducted our audit in accordance with auditing standards generally accepted in India. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatements. An audit includes examining, on test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by the management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis of our opinion.
3. We certify that the Balance Sheet and the Receipts and Payment account and Income and Expenditure account are in agreement with the books of account maintained at the Head office at SHAKTI BHAWAN LUCKNOW and branches NIL.
4. We report subject to our notes on account, attached herewith
 - A. We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purposes of the audit.
 - B. In our opinion, proper books of account have been kept by the Trust so far as appears from our examination of the books.
 - C. In our opinion, and to the best of our information and according to explanations given to us, they said accounts, read with notes thereon, if any, give a true and fair view in conformity with the accounting principles generally accepted in India.
 - (i) In the case of the balance sheet, of the state of the affairs of the Trust as at 31st March 2013, and
 - (ii) In the case of the Receipts and Payment account and Income and Expenditure account for the year ended on that date.

Date : 04/04/2016
Place: Lucknow

FOR MARKS & ASSOCIATES
CHARTERED ACCOUNTANTS

(M. K. GUPTA)
Partner

Flat No.4, Greenwood Apartments, 22-Gokhley Marg, Lucknow. Uttar Pradesh -226001

Phone No. : 9415022216, 9451055236 E-mail : gmka1003@gmail.com

SHAKTI BHAWAN EXT.14 ASHOK MARG LUCKNOW
BALANCE SHEET AS AT 31st MARCH 2013

AMOUNT AS AT 2011-12	LIABILITIES	AMOUNT AS AT 2012-13	AMOUNT AS AT 2011-12	ASSETS
5,000	CORPUS FUND Capital Receipt From UPRVUNL		5,000	INVESTMENTS(Schedule IV) Investment in FDR
				CURRENT ASSETS, LOANS & ADVANCEMENTS
	CURRENT LIABILITIES & PROVISION			Amount Recoverable From Project [Sch II]
	Employees Accumulated Funds			21,483,780
440,530,480	Employer's Share [Sch I]	640,672,827		86,883,484
706,237,060	Employees Share [Sch I]	383,839,055		7,080,832
		1,024,511,882		5,000
(14,661,909)	Less:-Payment Made to Employees(Adv/Final)	22,090,898	1,002,420,984	Amount Recoverable from Trustees
				Amount Recoverable From UPRVUNL
				8,758,432
				(4,800,898)
692,110,631			1,002,425,984	Opening Balance as on 01-04-2012 (Deficit)
				Less:- Surplus for the year (12-13)
			692,110,631	



[Signature]
SECRETARY
(TRUST)

[Signature]
DIRECTOR
(FINANCE)
& TRUSTEE

[Signature]
DIRECTOR (P & A)
& TRUSTEE

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**UTTAR PRADESH RAJYA VIDUT UTPADAN NIGAM CONTRIBUTORY PROVIDENT FUND TRUST
SHAKTI BHAWAN EXT.14 ASHOK MARG LUCKNOW**

INCOME AND EXPENDITURE FOR THE YEAR ENDED 31st MARCH 2013

AMOUNT	EXPENDITURE		AMOUNT	AMOUNT	INCOME
FOR THE YEAR 2011-12			FOR THE YEAR 2012-13	FOR THE YEAR 2011-12	
25,400,950	To Interest Payable to employees	46,877,818	75,415,159	47,834,185	By Bank Interest Interest on FDR[Sch-III] Additional Interest on FDR SB Interest
18,005,046	Employees Shares[Sch-I] Employer Shares[Sch-I]	28,537,341		372,966	
257	Bank Chrages		277.00		
4,800,898	To excess over expenses Transferred to Reserve & Surplus		3,552,766		
48,207,151			78,968,202	48,207,151	

As per Our report on even date attached.

MARKS & ASSOCIATES

Chartered Accountant

(R. K. GUPTA)

Partner

Place Lucknow

Dated

W. S. S.
SECRETARY
(TRUST)

W. S. S.
DIRECTOR
(FINANCE)
& TRUSTEE

W. S. S.
DIRECTOR (P & A)
& TRUSTEE

W. S. S.

**UTTAR PRADESH RAJYA VIDUT UTPADAN NIGAM CONTRIBUTORY PROVIDENT FUND TRUST
SHAKTI BHAWAN EXT.14 ASHOK MARG LUCKNOW**

INCOME AND EXPENDITURE FOR THE YEAR ENDED 31st MARCH 2013

AMOUNT	EXPENDITURE		AMOUNT	AMOUNT	INCOME
FOR THE YEAR 2011-12			FOR THE YEAR 2012-13	FOR THE YEAR 2011-12	
25,400,950	To Interest Payable to employees				By Bank Interest
18,005,046	Employees Shares[Sch-I]	46,877,818	75,415,159	47,834,185	Interst on FDR[Sch-III]
	Employer Shares[Sch-I]	28,537,341		372,966	Additional Interest on FDR
	257 Bank Chrages		277.00		SB Interest
4,500,898	To excess over expenses Transferred to Reserve & Surplus		3,552,766		
48,207,151			78,968,202	48,207,151	

As per Our report on even date attached.

MARKS & ASSOCIATES

Chartered Accountant



(R. GUPTA)
Partner
Place Lucknow
Dated

Wymul
SECRETARY
(TRUST)

Wms
DIRECTOR
(FINANCE)
& TRUSTEE

Wmf
DIRECTOR (P & A)
& TRUSTEE

Wymul

**UTTAR PRADESH RASYA VIDUT UTTARAN NIGAM CONTRIBUTORY PROVIDENT FUND TRUST
SHAKTI BHAWAN EXT.14 ASHOK MARG LUCKNOW**

RECEIPT & PAYMENT ACCOUNT FOR THE YEAR ENDED 31st MARCH 2013

AMOUNT	Receipt		AMOUNT	AMOUNT	
FOR THE YEAR 2011-12			FOR THE YEAR 2012-13	FOR THE YEAR 2011-12	
4,055,743	Opening Balance as on 1st Apl-2012		7,080,832	186,500,000	Investment in
69,371,124	Fund Received From Units				
128,369,794	Employees Shares [Sch II]	150,183,495			Payment to E
	Employer Shares [Sch II]	90,049,173	240,232,668	8,567,706	For Final/A
-	FDR matured during the year [Sch IV]		283,500,000	257	Bank Charg
	Bank Interest			20,832	Payment Re
-	FDR Interest [Sch IV]	59,171,099		7,080,832	Closing Bala
-	Additional Interest on FDR	303,682			31st Mar-20
372,966	Saving Bank Interest	575,854	60,050,635		
202,169,627	TOTAL		590,864,135	202,169,627	

As per Our report on even date attached.

MARKS & ASSOCIATES
Registered Accountant
(A.K.GUPTA)
Partner

My
SECRETARY
(TRUST)

Law
DIRECTOR
(FINANCE)
& TRUSTEE

25/11/13
DIRECTOR (P & A)
& TRUSTEE

Place : Lucknow

Dated :

TAR PRADESH RAJYA VIDUT UTPADAN NIGAM CONTRIBUTORY PROVIDENT FUND TRUST
AKTI BHAWAN EXT.14 ASHOK MARG LUCKNOW

Schedule-I

Calculation of Fund received during the Year 2012-13				
Particulars	Schedule	EMPLOYEE'S SHARE	EMPLOYER'S SHARE	Total
Opening Balance as on Apl-2012		440,530,480	266,237,060	706,767,540
Add:-				
Contribution Recoverable from the Units	Annexure-13	153,264,529	89,064,654	242,329,183
Provision of Interest	Annexure-13	46,877,818	28,537,341	75,415,159
Total		640,672,827	383,839,055	1,024,511,882
Opening Balance as on Apl-2012		14,661,909	-	14,661,909
Less:-				
Payment Made to Employees(Adv/Final)	Annexure-14	7,356,203	-	7,356,203
Wrongly Payment Received refunded	Annexure-16	72,786	-	72,786
Total		618,581,929	383,839,055	1,002,420,984

Schedule-II

Computation of Amount Recoverable				
Opening, Contribution Recoverable as on Apr-2012		13,526,331	7,957,449	21,483,780
Contribution Received from the Units	Sch-III	150,183,495	90,049,173	240,232,668
Contribution Recoverable from the Units	Annexure-13	153,264,529	89,064,654	242,329,183
Contribution Recoverable as on Mar-2013		16,607,365	6,972,930	23,580,295

Schedule-III

Contribution Received from the Units	Schedule	EMPLOYEE'S SHARE	EMPLOYER'S SHARE	Total
INPARA-A	Annexure-1	14,271,360	8,766,479	23,037,839
INPARA-B	Annexure-2	9,112,965	5,778,212	14,891,177
INPARA-D	Annexure-3	1,748,603	990,712	2,739,315
WARDUANGANJ	Annexure-4	17,854,487	10,957,750	28,812,237
WARDUANGANJ-Extension	Annexure-5	7,693,936	6,382,005	14,075,941
HEAD QUARTER	Annexure-6	9,726,099	5,531,658	15,257,757
OPD	Annexure-7	677,760	488,091	1,165,851
OBRA-A	Annexure-8	15,180,878	8,419,162	23,600,040
OBRA-B	Annexure-9	32,037,854	16,970,992	49,008,846
OTS	Annexure-10	3,107,002	1,624,315	4,731,317
ALP	Annexure-11	13,849,949	8,485,339	22,335,288
PARCEL	Annexure-12	24,922,602	15,654,458	40,577,060
Total		150,183,495	90,049,173	240,232,668



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UTTAR PRADESH RAJYA VIDUT UTPADAN NIGAM CONTRIBUTORY PROVIDENT FUND TRUST
SHAKTI BHAWAN EXT.14 ASHOK MARG LUCKNOW
PROVISION OF BANK INTEREST FOR THE YEAR 2012-2013

Sno	BANK NAME	Investment as on Apl-12	Status	Rate of Interest	Investment made	FDR Maturated	Closing as on march-13	Maturity Value	Date of FDR	Maturity date	No of days	amount of Interest	opening int as on	current NO. OF DAYS
1	Allahabad Bank	18,500,000	Matured	9.00%	-	18,500,000	-	29,259,054	20-Jul-07	8-Jul-12	1,815	10,759,054	10,021,716	99
2	Allahabad Bank	79,000,000	Renewed	9.25%	-	-	79,000,000	112,313,325	9-Jul-09	15-Oct-13	1,559	33,313,325	18,690,612	365
3	Allahabad Bank	2,000,000	Renewed	9.25%	-	-	2,000,000	2,654,058	20-Feb-10	17-Oct-13	1,335	654,058	307,568	365
3	Allahabad Bank	22,500,000	Matured	9.82%	-	22,500,000	-	24,792,205	29-Aug-11	29-Aug-12	366	2,292,205	1,346,514	151
4	Allahabad Bank	-	Fresh	9.25%	24,000,000	-	24,000,000	26,315,950	3-Nov-12	6-Nov-13	368	2,315,950	-	148
5	Allahabad Bank	-	Fresh	9.25%	16,500,000	-	16,500,000	18,080,013	7-Nov-12	7-Nov-13	365	1,580,013	-	144
6	Allahabad Bank	-	Fresh	9.15%	60,000,000	-	60,000,000	65,681,264	20-Nov-12	20-Nov-13	365	5,681,264	-	131
7	Allahabad Bank	-	Fresh	9.15%	25,000,000	-	25,000,000	27,367,194	27-Nov-12	27-Nov-13	365	2,367,194	-	124
8	Allahabad Bank	-	Fresh	9.15%	20,000,000	-	20,000,000	21,893,755	14-Dec-12	14-Dec-13	365	1,893,755	-	107
Total		122,000,000			145,500,000	41,000,000	226,500,000	328,356,818				60,856,818	30,374,410	
4	Bank Of Baroda/Vijaya Bank	15,000,000	Renewal	9.10%	-	-	15,000,000	19,968,613	2-Feb-11	3-Feb-14	1,097	19,968,613	1,817,975	674
5	Bank Of Baroda	10,000,000	Matured	10.20%	-	10,000,000	-	12,249,558	4-Mar-11	4-Mar-13	731	2,249,558	1,147,701	338
6	Bank Of Baroda	25,000,000	Renewal	9.00%	-	-	25,000,000	30,122,808	28-Jan-12	28-Jan-14	731	5,122,808	440,251	365
7	Bank Of Baroda	20,000,000	Matured	10.35%	-	20,000,000	-	22,151,737	5-Mar-12	5-Mar-13	365	2,151,737	153,274	339
8	Bank Of Baroda	-	Fresh	10.10%	26,000,000	-	26,000,000	28,735,094	19-Apr-12	20-Apr-13	366	2,735,094	-	346
9	Bank Of Baroda	-	Fresh	9.70%	20,000,000	-	20,000,000	22,011,715	30-May-12	30-May-13	365	2,011,715	-	305
10	Vijaya Bank	-	Fresh	9.50%	115,000,000	-	115,000,000	127,504,306	4-Aug-12	8-Sep-13	400	12,504,306	-	239
11	Vijaya Bank	-	Fresh	9.50%	35,000,000	-	35,000,000	38,795,561	31-Aug-12	5-Oct-13	400	3,795,561	-	212
12	Vijaya Bank	-	Fresh	9.10%	20,000,000	-	20,000,000	21,883,055	21-Dec-12	21-Dec-13	365	1,883,055	-	100
Total		70,000,000			216,000,000	30,000,000	256,000,000	323,422,447				52,422,447	3,559,201	
8	Central Bank Of India	51,200,000	Renewed	9.10%	20,000,000	-	71,200,000	100,170,422	19-Sep-07	31-Jan-14	2,326	28,970,422	14,046,514	365
9	Central Bank Of India	10,000,000	Matured	9.66%	-	10,000,000	-	13,544,668	13-May-09	19-Nov-12	1,286	3,544,668	2,759,675	233
10	Central Bank Of India	10,000,000	Matured	9.75%	-	10,000,000	-	11,984,479	10-Dec-10	10-Dec-12	731	1,984,479	1,219,910	254
11	Central Bank Of India	12,000,000	Renewed	9.90%	-	-	12,000,000	14,606,576	3-Jun-11	3-Jun-13	731	2,606,576	1,027,918	365
12	Central Bank Of India	15,000,000	Renewed	9.85%	-	-	15,000,000	18,249,312	29-Jun-11	29-Jun-13	731	3,249,312	1,174,277	365
13	Central Bank Of India	15,000,000	Renewed	9.25%	-	-	15,000,000	18,116,148	27-Sep-11	27-Sep-13	731	3,116,148	779,054	551
14	Central Bank Of India	10,000,000	Matured	9.76%	-	10,000,000	-	11,012,306	12-Dec-11	12-Dec-12	366	1,012,306	304,245	256
15	Central Bank Of India	18,000,000	Renewed	9.36%	-	-	18,000,000	21,875,548	17-Mar-12	17-Mar-14	730	3,875,548	74,501	365
16	Central Bank Of India	16,500,000	-	9.66%	-	16,500,000	-	19,294,071	22-Nov-11	22-Nov-12	366	2,794,071	1,661,469	236
17	Central Bank Of India	-	fresh	9.85%	15,000,000	-	15,000,000	16,532,977	30-Jun-12	30-Jun-13	365	1,532,977	-	274
18	Central Bank Of India	-	fresh	9.25%	22,000,000	-	22,000,000	24,106,684	26-Sep-12	26-Sep-13	365	2,106,684	-	186
19	Central Bank Of India	-	fresh	9.11%	45,000,000	-	45,000,000	49,241,688	31-Dec-12	31-Dec-13	365	4,241,688	-	90
20	Central Bank Of India	-	fresh	9.36%	39,000,000	-	39,000,000	42,780,540	28-Feb-13	28-Feb-14	365	3,780,540	-	31
21	Central Bank Of India	-	fresh	9.36%	34,000,000	-	34,000,000	37,295,855	5-Mar-13	5-Mar-14	365	3,295,855	-	26
22	Central Bank Of India	-	fresh	9.36%	15,000,000	-	15,000,000	16,454,095	25-Mar-13	25-Mar-14	365	1,454,095	-	6
Total		157,700,000			190,000,000	46,500,000	301,200,000	415,265,369				67,565,369	23,047,605	
17	Indian Overseas Bank	15,000,000	Renewal	9.25%	-	15,000,000	-	17,384,933	18-Aug-10	26-Jul-12	708	2,384,933	1,899,265	117
18	Indian Overseas Bank	15,000,000	Renewal	9.25%	-	15,000,000	-	17,921,694	8-Sep-10	30-Jul-12	691	2,921,694	1,903,914	121



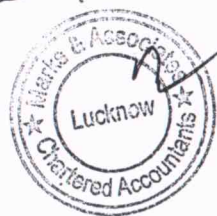
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	Total	30,500,000		31,500,000		25,304,627				4,806,627	3,803,179			
29	Nantia Bank	25,000,000	Renewal	8.55%	25,000,000		25,961,199	9-Nov-10	5-Nov-12	727	4,961,199	3,283,104	219	
20	Nantia Bank	20,000,000	Renewal	10.05%		20,000,000	24,665,281	29-Mar-11	29-Apr-13	762	4,665,281	2,158,209	365	
21	Nantia Bank	20,000,000	Renewal	9.90%		20,000,000	24,256,211	9-May-11	9-May-13	731	4,266,011	1,791,593	365	
22	Nantia Bank		fresh	9.72%	30,000,000	30,000,000	33,024,020	11-Jul-12	11-Jul-13	365	3,024,020		263	
Total		65,000,000			30,000,000	25,000,000	78,000,000				111,916,511		16,916,511	7,232,906
22	Oriental Bank Of Commerce	10,000,000	Matured	9.50%	10,000,000		11,771,923	8-Apr-10	26-Jul-12	840	1,771,923	1,434,683	117	
23	Oriental Bank Of Commerce	26,500,000	Matured	9.50%	26,500,000		31,249,862	13-May-10	25-Jul-12	804	4,749,862	3,523,169	116	
24	Oriental Bank Of Commerce	21,500,000	Matured	9.25%	21,500,000		26,346,623	23-Jul-10	23-Jul-12	731	4,846,623	4,129,473	114	
25	Oriental Bank Of Commerce	14,500,000	Matured	9.75%	14,500,000		17,495,190	18-Dec-10	18-Dec-12	731	2,995,190	1,845,043	262	
26	Oriental Bank Of Commerce	5,000,000	Matured	9.25%	5,000,000		6,422,953	27-Aug-09	29-Dec-12	1,220	1,422,953	1,055,995	273	
27	Oriental Bank Of Commerce	8,000,000	Matured	9.25%	8,000,000		10,293,253	29-Sep-09	29-Dec-12	1,187	2,293,253	1,634,886	273	
28	Oriental Bank Of Commerce	10,000,000	Matured	9.25%	10,000,000		13,014,145	13-Nov-09	28-Feb-13	1,203	3,014,145	2,004,234	334	
29	Oriental Bank Of Commerce	6,000,000	Renewal	9.25%		6,000,000	7,873,791	9-Jan-10	29-Jun-13	1,267	1,873,791	1,051,714	365	
30	Oriental Bank Of Commerce	3,000,000	Renewal	9.25%		3,000,000	3,871,721	20-Mar-10	29-May-13	1,166	871,721	493,630	365	
31	Oriental Bank Of Commerce	15,000,000	Fresh	9.75%	15,000,000		16,521,246	4-Nov-11	5-Nov-12	367	1,521,246	613,473	219	
Total		119,500,000			110,500,000	9,000,000	144,860,707				25,360,707	17,786,300		
32	Syndicate Bank	8,000,000	Renewal	9.55%		8,000,000	10,193,541	22-Mar-10	26-Apr-13	1,131	2,193,541	1,079,883	365	
Total		8,000,000				8,000,000	10,193,541				2,193,541	1,079,883		
GRAND Total		572,700,000			581,500,000	283,500,000	870,700,000	1,369,322,020			230,122,020	86,883,484		

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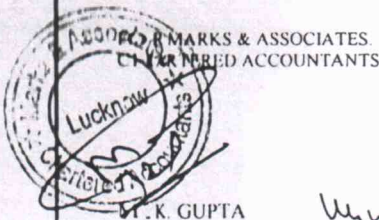
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3/10/13



NOTES ON ACCOUNTS

1. Trust has made Payment on the death of an employee to legal heirs of the deceased and the same has been adjusted from the Corpus Fund(Employees Accumulated Fund) and as verified by us, interest is allowed in the books of accounts of the trust and the same has been charged to individual A/C.
2. Trust has paid interest to employees from the date of deduction of contribution while units of and also head office and UPRVUNL has not made remittances to trust with in stipulated time
3. Trust has received contribution from divisions during the year of Rs. 24, 02,32,668/- and the advance/final/non-refundable payment to employees through divisions of Rs. 73,56,203.00/- has been set off from the balance of accumulated fund from employees. And after Setting off the payment made from the accumulated balance the net balance due to the division is Rs. 1,00,24,20,984/- as on Mar-2013.
4. Interest on contribution of Employee and Employers' had been calculated automatically @ ^{8.80}.....% p.a. Basis OF RS. 7,54,15,159/- For the Year 2012-13
5. Interest accrued on Fixed Deposit and other Investment has been booked in the books as income has been calculated on the basis of no. of days.
6. As per Clause 38 of the UPRVUNL Contributory Provident Fund Rules 2004, all expenses relating to the trust and shortfall of interest against earnings of the Trust are to be borne by UPRVUNL.



T.K. GUPTA
PARTNER

[Signature]
SECRETARY (TRUST)

[Signature]
DIRECTOR (FINANCE)
& TRUSTEE

[Signature]
DIRECTOR (P&A)
& TRUSTEE

[Signature]
MANAGING DIRECTOR & CHAIRMAN
OF THE TRUST

DATE:-
PLACE: